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AMENDMENT TRANSMITTAL PATENT
AMENDMENT TRANSMITTAL PATENT
Delication No.: 10/769,572
First Named Inventor Susan Swindlehurst
Examiner's Name: David E. Graybill
Art Unit: 2822
Attorney Docket No.:003424.P0526X
An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
X Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666. Applicant(s) claim small entity status (37 CFR 1.27).
<u>ATTACHMENTS</u>
Preliminary Amendment
Amendment/Response with respect to Final Office Action dated May 4, 2006
Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal) Notice of Appeal RCE (Request for Continued Examination) Supplemental Declaration Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
Notice of Appeal
RCE (Request for Continued Examination)
Supplemental Declaration
Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
X Information Disclosure Statement (IDS)
X Copies of IDS citations
Petition for Extension of Time
Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
Cross-Reference to Related Application(s)
Certified Copy of Priority Document
Other:
Other:
X Check(s) \$180.00 in the amount of \$180.00
X Postcard (Return Receipt)
SUBMITTED BY:
BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
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SIGNATURE:
REG. NO.: 31,195
DATE: 9/21/2006
ADDRESS: 12400 Wilshire Boulevard, Seventh Floor
Los Angeles, California 90025
TELEPHONE NO.: (408) 720-8300
CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in
an envelope addressed to Mail Stop: RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on September 21, 2006
Date of Deposit Shannon Tinsley
Name of Person Mailing Correspondence 9/21/00
Signature Date

Express Mail Label No. (if applicable): _

FEE TRANSMITTAL FOR FY 2005 Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).								
	TOTAL AMOUNT OF PAYMENT (\$) _ 180.00							
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		ventor _ e	Grout	n Swindlehurst bill, David E.				
Art Uni		.e	2822					
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				ndicated below except for the	filing fee			
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		incorpo	rating an	appropriate petition for extension	n of time and all required fees si	hould be charged.		
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FEE C	ALCUL	ATION						
1. <u>BAS</u>	SIC FILI	ING, SE	ARCH, /	AND EXAMINATION FEES				
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Large	Entity	Small E	ntity		
Fee	Fee	Fee	Fee		
Code	(+)	Code	(\$)	Fee Description	
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50 130	2052 1053	25 130	Surcharge - late provisional filing fee or cover sheet Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for Inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252 1253	450 1,020	2252	225	Extension for reply within second month	
1253	1,020	2253 2254	510 795	Extension for reply within third month Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250 750	Petition to revive – unavoldable	
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1502	800	2502	400	Utility issue fee (or relssue) Design issue fee	
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1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180 40	1806	180 40	Submission of Information Disclosure Stmt	\$180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	For filling a submission after final rejection	
				(see 37 CFR 1.129(a))	
1814	130	2814	65	Statutory Disclaimer	
1810	790	2810	395	For each additional invention to be examined	
1.004				(see 37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	į
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
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1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,370	1454	1,370	Acceptance of unintentionally delayed claim for priority	
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				SUBTOTAL (4) \$180	0.00
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Signa	ture:	>	10 de	121 2006	İ
J					
Reg. I	Number:	31,195		Telephone Number: 408-720-830	00
			D O D	1450 Alexandria VA 22313-1450	

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

orney's Docket No. 003424.P056X PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:		
Susan Swindlehurst)	Examiner:	David E. Graybill
Application No.: 10/769,572)	Art Unit:	2822
Filed: January 30, 2004)		
For: APPARATUS INCORPORATING)		
SMALL-FEATURE-SIZE AND LARGE-)		
FEATURE-SIZE COMPONENTS AND)		
METHOD FOR MAKING SAME)		

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of

09/26/2006 HVUONG1 00000072 10769572

the appropriate paragraph):
37 C.F.R. §1.97(b).
X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
A statement pursuant to 37 C.F.R. §1.97(e) or
X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
(1) A statement pursuant to 37 C.F.R. §1.97(e); and
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.
If there are any additional charges, please charge Deposit Account No. 02-2666.
Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Dated: 9/21/2006 James C. Scheller, Jr. Reg. No. 31,195
12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on
Shannon Tinsley (Typed or printed name of person mailing correspondence)
(Signature of person mailing correspondence)

Substitu	te for Fort	n 1449/PTO	Complete if Known				
				Application Number 10/769,572			
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Sheale	APRICE	of		3	Attorney Docket No.	003424	1.P056X
			U.S. PATE	NT DOCUMENT	TS		
Exmnr	Cite No.			Publication Date	Name of Patentee		Pages, Columns, Lines, Where Relevant Passages
Initials*		Document Numb	er	MM-DD-YYYY	Applicant of Cited Doc	ument	
		Number Kind Code ²					or Relevant Figures Appear
	1.	4,957,776		9/18/1990	Masahiro Higuchi, et al.		
	2.	6,044,046		3/28/2000	Nils Diezmann, et al.		
	3.	2002/0097664		7/25/2002	Ono Hiroaki		
	4.	2002/167450 A1		11/14/2002	Korden Christian et al.		
	5.	2003/112192		6/19/2003	Patrick F. King, et al.		
	6.	2005/0093678		5/5/2005	Forster et al.		
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO

2

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known			
Application Number	10/769,572		
Filing Date	January 30, 2004		
First Named Inventor:	Susan Swindlehurst		
Art Unit	2822		
Examiner Name	Graybill, David E.		
Attorney Docket No.	003424 P056Y		

		F	OREIGN PATE	NT DOCUMENTS		
Exmnr Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
	7.	DE 2810005	3/8/1978	Egon P. Schubert		
	8.	EP 1111537 A2	6/27/2001	Siemens Aktiengesellschaft		
	9.	WO 00/23994 A	4/27/2000	Intermec IP Corporation		
	10.	WO 2004/006259	1/15/2004	Koninklijke Philips Electronic N.V.		
	11.	EP 1302893	4/16/2006	X-IDENT Technology GMBH		
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Examiner	Date	
Signature	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Substitute for Form 1449/PTO				Complete if Known			
INFO	RMAT	TION DISC	LOSURE	Application Number	10/769,572		
				Filing Date January 30, 2004			
STAT		NT BY APF		First Named Inventor: Susan Swindlehurst			
	(use as r	many sheets as neces	sary)	Art Unit	2822		
				Examiner Name	Graybill, David E.		
Sheet	3	of	3	Attorney Docket No. 003424.P056X			
	_		NON PATENT LIT	TERATURE DOCUMENTS			
Examiner Initials* Cite No1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					T²		
	12.	PCT Notification of Transmittal of International Search Report and The Written Opinion of the International Searching Authority, or the Declaration for PCT/US2005/040772, mailed on July 26, 2006 (15 pages)					
	13.	Kriebel, Frank (2005). RFID Chip and Tag Assembly Challenges: Low Cost RFID IC Packaging and Assembly Workshop, November 14, 2005, Munich, Germany. (12 pgs). IPRP Chapter 1, PCT Application No. PCT/US2005/002534, (9 pages) mailed August 10, 2006					
	14.						
	15.	PCT Notification of Transmittal of International Search Report and The Written Opinion of the International Searching Authority for PCT/US2004/09070, mailed on February 10, 2005 (12 pages)					
Examiner Signature					Date Considered		

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.